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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01038A

First Inventor David Mark Bergeron, et al.

Title APPARATUS WITH IMPROVED SAFETY....

Express Mail Label No. EU416302367US

264 U.S. PTO
10/15/2003
2003/09/02/03

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 27] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 9]</p> <p>5. Oath or Declaration [Total Pages]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. 09/847,124

Prior application information:

Examiner, Wayne A. Langel

Group Art Unit, 1754

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21898 <small>(insert Customer No. or attach bar code label here)</small>		<input type="checkbox"/> Correspondence address below
Name			
Address			
City	State	Zip Code	
Country	Telephone		Fax
Name (Print/Type)	MARCELLA M. BODNER		Registration No. (Attorney/Agent) 46,561
Signature			Date 8/28/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 1794)

Complete if Known	
Application Number	not yet assigned
Filing Date	Herewith
First Named Inventor	David Mark Bergeron, et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	A01038A

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

18-1850

Rohm and Haas Company

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Fee	Fee Description	Fee Paid
Fee Fee Code (\$)	Fee Fee Code (\$)			
1001 750	2001 375	Utility filing fee		750
1002 330	2002 165	Design filing fee		
1003 520	2003 260	Plant filing fee		
1004 750	2004 375	Reissue filing fee		
1005 160	2005 80	Provisional filing fee		
SUBTOTAL(1) (\$)				750

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from	Extra Claims below	Fee Paid
Total Claims 50	-20** = 30	X 18 = 540
Independent Claims 0	- 3** = 6	X 84 = 504
Multiple Dependent		= 0

Large Entity	Small Entity	Fee Description
Fee Fee Code (\$)	Fee Fee Code (\$)	
1202 18	2202	9 Claims in excess of 20
1201 84	2201	42 Independent claims in excess of 3
1203 280	2203	140 Multiple dependent claim, if not paid
1204 84	2204	42 ** Reissue independent claims over original patent
1205 18	2205	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		1044

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051	65 Surcharge - late filing fee or oath	
1052 50	2052	25 Surcharge - late provisional filing fee or cover sheet	
1053 130	1053	130 Non-English specification	
1812 2,520	1812	2,520 For filing a request for ex parte reexamination	
1804 920*	1804	920* Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805	1,840* Requesting publication of SIR after Examiner action	
1251 110	2251	55 Extension for reply within first month	
1252 410	2252	205 Extension for reply within second month	
1253 930	2253	465 Extension for reply within third month	
1254 1,450	2254	725 Extension for reply within fourth month	
1255 1,970	2255	985 Extension for reply within fifth month	
1401 320	2401	160 Notice of Appeal	
1402 320	2402	160 Filing a brief in support of an appeal	
1403 280	2403	140 Request for oral hearing	
1451 1,510	1451	1,510 Petition to institute a public use proceeding	
1452 110	2452	55 Petition to revive - unavoidable	
1453 1,300	2453	650 Petition to revive - unintentional	
1501 1,300	2501	650 Utility issue fee (or reissue)	
1502 470	2502	235 Design issue fee	
1503 630	2503	315 Plant issue fee	
1460 130	1460	130 Petitions to the Commissioner	
1807 50	1807	50 Processing fee under 37 CFR 1.17(q)	
1806 180	1806	180 Submission of Information Disclosure Stmt	
8021 40	8021	40 Recording each patent assignment per property (times number of properties)	
1809 750	2809	375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810	375 For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801	375 Request for Continued Examination (RCE)	
1802 900	1802	900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY			
Name (Print/Type)	MARCELLA M. BODNER	Registration No. (Attorney/Agent)	46,561
Signature	<i>Marcella M. Bodner</i>	Telephone	(215) 592-3025

(Complete if applicable)

Date 8/28/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket Number: A01038A
MMB/yv

In re application of:
David Mark Bergeron, et al.

Serial No: Not yet assigned : Group Art Unit: Not yet assigned

Filed: Herewith : Examiner: Not yet assigned

For: **APPARATUS WITH IMPROVED SAFETY FEATURES FOR HIGH
TEMPERATURE INDUSTRIAL PROCESSES**

*This is a divisional of U.S. Serial No. 09/847,124
filed May 2, 2001

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Fee Transmittal (in duplicate)
Photocopy of Original Application
Photocopy of Declaration and Power of Attorney
Drawings (9 sheets)
Preliminary Amendment and IDS with completed form PTO-1449 (in duplicate)
Return Receipt Postcard

9/02/03

Date

Yvette Viglianese

Signature

U.S. Postal Service Express Mail Label No. EU416302367US